



1-23-02

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 17, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL631006945US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Jeena McLeod

Attorney Docket No.: SUN1P849/P7352

First Named Inventor: MORTAZAVI

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Masood Seyed Mortazavi**

For: **ONLINE UPGRADE OF CONTAINER-BASED SOFTWARE COMPONENTS**

Assigned to: Sun Microsystems, Inc.

**Application Elements:**

- ☒ **18** Pages of Specification, Claims and Abstract
- ☒ **08** Sheets of formal Drawings
- ☒ **02** Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☐ Do not publish this application. Nonpublication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

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Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

|   | (Col. 1)<br>Total Claims |       | (Col. 2)<br>Claims | (Col. 3)<br>Present Extra | Rate  | Additional Fee |
|---|--------------------------|-------|--------------------|---------------------------|-------|----------------|
| TOTAL   | 30                       | MINUS | 20                 | = 10                      | x 18  | 180.00         |
| INDEP.  | 03                       | MINUS | 3                  | = 00                      | x 84  | 0.00           |
| [ ] First presentation of multiple dependent claim    |                          |       |                    |                           | \$280 |                |
| Basic Filing Fee under 37 C.F.R. §1.16(a)             |                          |       |                    |                           | \$740 | 740.00         |
| TOTAL   |                          |       |                    |                           |       | 920.00         |
| SMALL ENTITY 50% FILING FEE REDUCTION (if applicable) |                          |       |                    |                           |       |                |

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Check No. 13716 in the amount of \$960.00 is enclosed.

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The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. SUN1P849).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

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Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SUN1P849).

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Please send correspondence to the following address:

**Customer Number 022434**



Date: January 17, 2002

Ramin Mahboubian  
Registration No. 44,890